

Form PTO-1449 (modified)

Atty. Docket No.

UTKO:002/MTG

Serial No.

09/496,243

List of Patents and Publications for Applicant's

Applicant

Hideki Hyodoh, Andras Konya, Kenneth C. Wright

INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

Filing Date:

February 1, 2000

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Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date of App.
6P	A1	2,836,181	5/27/58	Tapp	128	334	1/17/55
	A2	2,936,257	5/10/60	Nailler <i>et al.</i>	154	2.22	12/4/56
	A3	3,463,197	8/26/69	Slade	138	125	6/20/66
	A4	3,620,218	11/16/71	Schmitt	128	334 R	8/25/69
	A5	4,503,569	3/12/85	Dotter	3	1.4	3/3/83
	A6	4,580,568	4/8/86	Gianturco	128	345	10/1/84
	A7	4,619,246	10/28/86	Molgaard-Neilsen <i>et al.</i>	128	1	5/20/85
	A8	4,655,771	04/07/87	Wallsten	623	1	4/11/83
	A9	4,850,999	7/25/89	Planck	623	1	5/26/81
	A10	4,954,126	9/4/90	Wallsten	600	36	3/28/89
	A11	4,969,458	11/13/90	Wiktor	606	194	7/6/87
	A12	5,026,377	6/25/91	Burton <i>et al.</i>	606	108	8/17/90
	A13	5,061,275	10/29/91	Wallsten <i>et al.</i>	623	1	12/29/89
	A14	5,350,398	9/27/94	Pavcnik <i>et al.</i>	606	200	5/28/93
	A15	5,389,106	2/14/95	Tower	606	198	10/29/93
	A16	5,395,390	3/7/95	Simon <i>et al.</i>	606	198	12/16/93
	A17	5,411,549	5/2/95	Peters	623	1	7/13/93
	A18	5,419,231	5/30/95	Earle III <i>et al.</i>	87	1	8/25/92
	A19	5,425,739	6/20/95	Jessen	606	155	12/17/92
	A20	5,425,984	6/20/95	Kennedy <i>et al.</i>	428	229	6/9/93
	A21	5,443,458	8/22/95	Eury	604	891.1	12/3/93
	A22	5,478,355	12/26/95	Muth <i>et al.</i>	606	230	12/30/94
	A23	5,485,774	1/23/96	Osborne	87	6	5/23/94

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INFORMATION DISCLOSURE STATEMENT — PTO-1449 (MODIFIED)

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Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date of App.
GP	A24	5,507,769	4/16/96	Marin <i>et al.</i>	606	198	10/18/94
	A25	5,527,324	6/18/96	Krantz <i>et al.</i>	606	155	9/7/94
	A26	5,551,954	9/3/96	Buscemi <i>et al.</i>	623	1	10/12/94
	A27	5,597,378	1/28/97	Jervis	606	78	10/2/92
	A28	5,643,339	7/1/97	Kavteladze <i>et al.</i>	623	1	8/6/93
	A29	5,670,161	9/23/97	Healy <i>et al.</i>	424	426	5/28/96
	A30	5,679,400	10/21/97	Tuch	427	2.14	6/7/95
	A31	5,683,411	11/4/97	Kavteladze <i>et al.</i>	606	200	4/6/95
	A32	5,707,376	1/13/98	Kavteladze <i>et al.</i>	606	108	6/7/95
	A33	5,733,327	3/31/98	Igaki <i>et al.</i>	623	1	10/17/95
	A34	5,766,710	6/16/98	Turnlund <i>et al.</i>	428	36.1	6/19/96
	A35	5,772,668	6/30/98	Summers <i>et al.</i>	606	108	6/7/95
	A36	5,824,053	10/20/98	Khosravi <i>et al.</i>	623	1	3/18/97
	A37	5,891,191	4/6/99	Stinson	623	1	4/30/96
	A38	5,928,279	7/27/99	Shannon <i>et al.</i>	623	1	7/3/96
	A39	5,968,088	10/19/99	Hansen <i>et al.</i>	623	1	3/7/97
	A40	B1 4,655,771	09/10/96	Wallsten	623	1	04/11/83
	A41	B1 4,954,126	05/28/96	Wallsten	600	36	03/28/89

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Exam. Init.	Ref. Des.	Document Number	Date	Country	Class	Sub Class	Translation Yes/No
GP	B1	1183497	3/4/70	England			
	B2	1205743	9/16/70	England			

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Exam. Init.	Ref. Des.	Document Number	Date	Country	Class	Sub Class	Translation Yes/No
GP	B3	2135585	9/5/84	Great Britain			

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Exam. Init.	Ref. Des.	Citation
GP	C1	Didcott, "Oesophageal strictures: treatment by slow continuous dilation," <i>Ann. Roy. Coll. Surg. Engl.</i> , 53:112-126, 1973.
	C2	"Wallstent Endoprosthesis" marketing material, Boston Scientific Vascular, 1998.
	C3	Reexamination file history for B1-4,954,126.
	C4	Reexamination file history for B1-4,655,771.
	C5	Dotter, "Transluminally-placed coilspring endarterial tube grafts," <i>Investigative Radiology</i> , 4:329-332, 1969.

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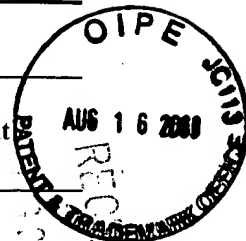
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Exam. Init.	Ref. Des.	Citation
6P	C6	Fallone <i>et al.</i> , "Elastic characteristics of the self-expanding metallic stents," <i>Invest. Radiol.</i> , 23:370-376, 1988.
	C7	Gillams <i>et al.</i> , "Self-expandable stainless steel braided endoprosthesis for biliary strictures," <i>Radiology</i> , 174:137-140, 1990.
	C8	Günther <i>et al.</i> , "Venous stenoses in dialysis shunts: Treatment with self-expanding metallic stents," <i>Radiology</i> , 170:401-405, 1989.
	C9	Milroy <i>et al.</i> , "A new stent for the treatment of urethral strictures," <i>Br. J. Urol.</i> , 63:392-396, 1989.
	C10	Murayama <i>et al.</i> , "Nonadhesive liquid embolic agent for cerebral arteriovenous malformations: Preliminary histopathological studies in swine rete mirabile," <i>Neurosurgery</i> , 43:1164-1175, 1998.
	C11	Nashef <i>et al.</i> , "Expanding wire stents in benign tracheobronchial disease: Indications and complications," <i>Ann. Thorac. Surg.</i> , 54:937-940, 1992.
	C12	Palmaz, "Balloon-expandable intravascular stent," <i>AJR</i> , 150:1263-1269, 1988.
	C13	Peterson <i>et al.</i> , "Gianturco-Rosch Z stents in tracheobronchial stenoses," <i>JVIR</i> , 6:925-931, 1995.
	C14	Schampaert, "The V-stent: a novel technique for coronary bifurcation stenting," <i>Cathet. Cardiovasc. Diagn.</i> , 39(3):320-326, 1996.
	C15	Shurman <i>et al.</i> , "Neointimal hyperplasia in low-profile nitinol stents, Palmaz stents, and Wallstents: a comparative experimental study," <i>Cardiovasc. Intervent. Radiol.</i> , 19:248-254, 1996.
	C16	Taki <i>et al.</i> , "A new liquid material for embolization of arteriovenous malformations," <i>AJNR</i> , 11:163-168, 1990.
	C17	Terada <i>et al.</i> , "Embolization of arteriovenous malformations with peripheral aneurysms using ethylene vinyl alcohol copolymer," <i>J. Neurosurg.</i> , 75:655-660, 1991.
	C18	Wallace <i>et al.</i> , "Tracheobronchial tree: Expandable metallic stents used in experimental and clinical applications," <i>Radiology</i> , 158:309-312, 1986.

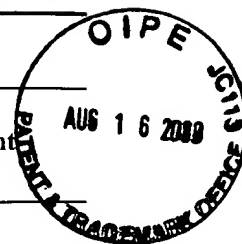
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GP	C19	Ben-Menachem <i>et al.</i> , "Hemorrhage associated with pelvic fractures: causes, diagnosis, and emergent management," <i>AJR</i> , 157:1005-1014, 1991.
	C20	Bing <i>et al.</i> "Percutaneous ureteral occlusion with use of Gianturco coils and gelatine sponge, Part I. Swine model" <i>JVIR</i> ; 3:313-317, 1992 (a)
	C21	Bing <i>et al.</i> "Percutaneous ureteral occlusion with use of Gianturco coils and gelatine sponge, Part II. Clinical Experience," <i>JVIR</i> ; 3:319-321, 1992 (b)
	C22	Cambier <i>et al.</i> , "Percutaneous closure of the small (<2.5 mm) patent ductus arteriosus using coil embolization," <i>Am. J. Cardiol.</i> , 69:815-816, 1992.
	C23	Crochet <i>et al.</i> , "Vena Tech-LGM filter: long-term results of a prospective study," <i>Radiology</i> , 188:857-860, 1993.
	C24	Dorfman, "Percutaneous inferior vena cava filters," <i>Radiology</i> , 174:987-992, 1990.
	C25	Dutton <i>et al.</i> , "Pulmonary arteriovenous malformations: results of treatment with coil embolization in 53 patients," <i>AJR</i> , 165:1119-1125, 1995.
	C26	Fischell <i>et al.</i> , "The β -particle-emitting radiosotope stent (Isostent): animal studies and planned clinical trials," <i>Am. J. Cardiol.</i> , 78(Suppl 3A):45-50, 1996.
	C27	Furuse <i>et al.</i> , "Hepatocellular carcinoma with portal vein tumor thrombus: embolization of arteriportal shunts," <i>Radiology</i> , 204:787-790, 1997.
	C28	Gianturco <i>et al.</i> , "Mechanical device for arterial occlusion," <i>AJR</i> , 124:428-435, 1975.
	C29	Grassi, "Inferior vena caval filters: Analysis of five currently available devices," <i>AJR</i> , 156:813-821, 1991.
	C30	Grifka <i>et al.</i> , "Transcatheter patent ductus arteriosus closure in an infant using the Gianturco Grifka vascular occlusion device," <i>Am. J. Cardiol.</i> , 78:721-723, 1996.
	C31	Guglielmi <i>et al.</i> , "Highflow, small-hole arteriovenous fistulas: treatment with electrodetachable coils," <i>AJNR</i> , 16:325-328, 1995.
	C32	Hammer <i>et al.</i> , "In vitro evaluation of vena cava filters," <i>JVIR</i> , 5:869-876, 1994.
	C33	Hendrickx <i>et al.</i> , "Long-term survival after embolization of potentially lethal bleeding malignant pelvic turnouts," <i>Br. J. Radial.</i> , 68:1336-1343, 1995.
	C34	Hijazi and Geggel, "Results of antegrade transcatheter closure of patent ductus arteriosus using single or multiple Gianturco coils," <i>Am. J. Cardiol.</i> , 74:925-929, 1994.

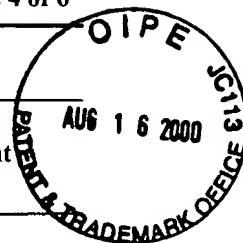
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GP	C35	Hijazi and Geggel, "Transcatheter closure of patent ductus arteriosus using coils," <i>Am. J. Cardiol.</i> , 79:1279-1280, 1997.
	C36	Hosking <i>et al.</i> , "Transcatheter occlusion of the persistently patent ductus arteriosus," <i>Circulation</i> , 84:2313-2317, 1991.
	C37	Jaeger <i>et al.</i> , "In vitro model for evaluation of inferior vena cava filters: effect of experimental parameters on thrombus-capturing efficacy of the Vena Tech-LGM filter," <i>JVIR</i> , 9:295-304, 1998.
	C38	Kato <i>et al.</i> , "Use of a self-expanding vascular occluder for embolization during endovascular aortic aneurysm repair," <i>JVIR</i> , 8:27-33, 1997.
	C39	Katsamouris <i>et al.</i> , "Inferior vena cava filters: <i>In vitro</i> comparison of clot trapping and flow dynamics," <i>Radiology</i> , 166:361-366, 1988.
	C40	Kónya <i>et al.</i> , "Anchoring coil embolization in a high-flow arterial model," <i>JVIR</i> , 9:249-254, 1998.
	C41	Kónya and Wright, "Preliminary results with a new vascular basket occluder in swine. <i>JVIR</i> , 10:1043-1049, 1999.
	C42	Korbin <i>et al.</i> , "Comparison of filters in an oversized vena caval phantom: intracaval placement of a Bird's Nest filter versus biiliac placement of Greenfield, Vena-Tech-LGM, and Simon nitinol filters," <i>JVIR</i> , 3:559-564, 1992.
	C43	Krichenko <i>et al.</i> , "Angiographic classification of the isolated, persistently patent ductus arteriosus and implications for percutaneous catheter occlusion," <i>Am. J. Cardiol.</i> , 63:877-880, 1989.
	C44	Latson, "Residual shunts after transcatheter closure of patent ductus arteriosus," <i>Circulation</i> , 84:2591-2593, 1991.
	C45	Levey <i>et al.</i> , "Safety and efficacy of transcatheter embolization of auxiliary and shoulder arterial injuries," <i>JVIR</i> , 2:99-104, 1991.
	C46	Lipton <i>et al.</i> , "Percutaneous Retrieval of two Wallstent endoprostheses from the heart through a single jugular sheath," <i>JVIR</i> , 6:469-472, 1995.
	C47	Lloyd <i>et al.</i> , "Transcatheter occlusion of patent ductus arteriosus with Gianturco coils," <i>Circulation</i> , 88:1412-1420, 1993.
	C48	Magal <i>et al.</i> , "A new device for transcatheter closure of patent ductus arteriosus: a feasibility study in dogs," <i>Invest. Radiol.</i> , 24:272-276, 1989.

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68	C49	Marks <i>et al.</i> , "A mechanically detachable coil for the treatment of aneurysms and occlusion of blood vessels," <i>AJNR</i> , 15:821-827, 1994.
	C50	Masura <i>et al.</i> , "Catheter closure of moderate to large sized patent ductus arteriosus using the new Amplatzt duct occluder: immediate and short term results," <i>J. Am. Coll. Cardiol.</i> , 31:878-882, 1998.
	C51	Milward, "Temporary and Retrievable inferior vena cava filters: Current status," <i>JVIR</i> , 9:381-387, 1998.
	C52	Murayama <i>et al.</i> , "Nonadhesive liquid embolic agent for cerebral arteriovenous malformations: Preliminary histopathological studies in swine rete mirabile," <i>Neurosurgery</i> , 43:1164-1175, 1998.
	C53	Nancarrow <i>et al.</i> , "Stability of coil emboli: an <i>in vitro</i> study," <i>Cardiovasc. Intervent. Radiol.</i> , 10:226-229, 1987.
	C54	O'Halpin <i>et al.</i> , "Therapeutic arterial embolization: report of five years' experience," <i>Clin. Radiol.</i> , 35:85-93, 1984.
	C55	Pozza <i>et al.</i> , "Transcatheter occlusion of patent ductus arteriosus using a newly developed self-expanding device: evaluation in a canine model," <i>Invest. Radiol.</i> , 30:104-109, 1995.
	C56	Prahlow <i>et al.</i> , "Cardiac perforation due to Wallstent embolization: a fatal complication of the transjugular intrahepatic portosystemic shunt procedure," <i>Radiology</i> , 205:170-172, 1997.
	C57	Prince <i>et al.</i> , "Local; intravascular effects of the nitinol wire blood clot filter," <i>Invest. Radiol.</i> , 23:294-300, 1988.
	C58	Punekar <i>et al.</i> , "Post-surgical recurrent varicocele: efficacy of internal spermatic venography and steel-coil embolization," <i>Br. J. Urol.</i> , 77:12-128, 1996.
	C59	Rashkind <i>et al.</i> , "Nonsurgical closure of patent ductus arteriosus: clinical application of the Rushkind PDA occluder system," <i>Circulation</i> , 75:583-592, 1987.
	C60	Reidy and Qureshi, "Interlocking detachable platinum coils, a controlled embolization device: early clinical experience," <i>Cardiovasc. Intervent. Radiol.</i> , 19:85-90, 1996.
	C61	Sagara <i>et al.</i> , "Recanalization after coil embolotherapy of pulmonary arteriovenous malformations: study of long term outcome and mechanism for recanalization," <i>AJR</i> , 170:727-730, 1998.
	C62	Schmitz Rode <i>et al.</i> , "Self-expandable spindle for transcatheter vascular occlusion: <i>in vivo</i> experiments," <i>Radiology</i> , 188:95-100, 1993.

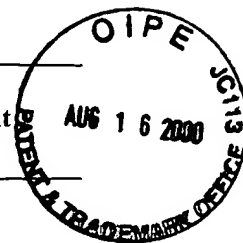
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	C64	Schild <i>et al.</i> , "Effectiveness of platinum wire microcoils for venous occlusion: a study on patients treated for venogenic impotence," <i>Cardiovasc. Intervent. Radiol.</i> , 17:170-172, 1994.
	C65	Schwartz <i>et al.</i> , "Effectiveness of transcatheter embolization in the control of hepatic vascular injuries," <i>JVIR</i> , 4:359-365, 1993.
	C66	Selby Jr., "Interventional radiology of trauma," <i>Radiol. Clin. N. Am.</i> , 30:427-439, 1992.
	C67	Sharafuddin <i>et al.</i> , "Repositionable vascular occluder: experimental comparison with standard Gianturco coils," <i>JVIR</i> , 7:695-703, 1996.
	C68	Sharafuddin <i>et al.</i> , "Experimental evaluation of a new self expanding patent ductus arteriosus occluder in a canine model," <i>JVIR</i> , 7:877-887, 1996.
	C69	Simon <i>et al.</i> , "Comparative evaluation of clinically available inferior vena cava filters with an <i>in vitro</i> physiologic simulation of the vena cava," <i>Radiology</i> , 189:769-774, 1993.
	C70	Sommer <i>et al.</i> , "Use of preformed nitinol snare to improve transcatheter coil delivery in occlusion of patent ductus arteriosus," <i>Am. J. Cardiol.</i> , 74:836-839, 1994.
	C71	Taki <i>et al.</i> , "A new liquid material for embolization of arteriovenous malformations," <i>AJNR</i> , 11:163-168, 1990.
	C72	Teitelbaum <i>et al.</i> , "Microcatheter embolization of non-neurologic traumatic vascular lesions," <i>JVIR</i> , 4:149-154, 1993.
	C73	Terada <i>et al.</i> , "Embolization of arteriovenous malformations with peripheral aneurysms using ethylene vinyl alcohol copolymer," <i>J. Neurosurg.</i> , 75:655-660, 1991.
	C74	Tometzki <i>et al.</i> , "Transcatheter occlusion of the patent ductus arteriosus with Cook detachable coils," <i>Heart</i> , 76:531-535, 1996.
	C75	Uzun <i>et al.</i> , "Transcatheter occlusion of the arterial duct with Cook detachable coils: early experience," <i>Heart</i> , 76:269-273, 1996.
	C76	Vedantham <i>et al.</i> , "Uterine artery embolization: an underused method of controlling pelvic hemorrhage," <i>Am. J. Obstet. Gynecol.</i> , 176:938-948, 1997.
	C77	Vesely <i>et al.</i> , "Upper extremity central venous obstruction in hemodialysis patients: treatment with Wallstents," <i>Radiology</i> , 204:343-348, 1997.
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	C80	White <i>et al.</i> , "Pulmonary arteriovenous malformations: diagnosis and transcatheter embolotherapy," <i>JVIR</i> , 7:787-804, 1996.
	C81	Xian <i>et al.</i> , "Multiple emboli and filter function: An <i>in vitro</i> comparison of three vena cava filters," <i>JVIR</i> , 6:887-893, 1995.
	C82	Yune, "Inferior vena cava filter: Search for an ideal device," <i>Radiology</i> , 172:15-16, 1989.
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Serial No.

09/496,243

List of Patents and Publications for Applicant's

Applicant

Hideki Hyodoh, Andras Konya, Kenneth C. Wright

INFORMATION DISCLOSURE STATEMENT

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Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date of App.
6P	A42	5,769,882	6/23/98	Fogarty et al.	623	1	09/08/95

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Exam. Init.	Ref. Des.	Document Number	Date	Country	Class	Sub Class	Translation Yes/No
6P	B4	DE 19703482	06/08/98	Germany			Yes
	B5	WO 98/19630	14/05/98	PCT			
	B6	WO 98/11847	26/03/98	PCT			
	B7	EP 0804909	05/11/97	Europe			
	B8	WO 98/46168	22/10/98	PCT			
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	B11	WO 00/09059	8/4/99	PCT			
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	A48	5,104,404	4/14/92	Wolff	623	1	6/20/91
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	A52	5,860,998	01/19/99	Robinson <i>et al.</i>	606	194	11/25/96
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	A54	4,733,665	03/29/88	Palmaz	128	343	11/07/85
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	A61	5,219,355	06/15/93	Parodi <i>et al.</i>	606	191	10/02/91
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Atty. Docket No.
UTKO:002/MTGSerial No.
09/496,243

List of Patents and Publications for Applicant's

Applicant
Hideki Hyodoh, Andras Konya, Kenneth C. Wright

INFORMATION DISCLOSURE STATEMENT

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GP	C84	Balko <i>et al.</i> , "Transfemoral placement of intraluminal polyurethane prosthesis for abdominal aortic aneurysm," <i>J. Surg. Res.</i> , 40:305-309, 1986.
	C85	"Conformance by Design," World Medical Manufacturing Corporation.
	C86	World Medical News, 5(5), February 1997.
	C87	Zarins <i>et al.</i> , "AneuRx stent graft versus open surgical repair of abdominal aortic aneurysms: multicenter prospective clinical trial," <i>J. Vasc. Surg.</i> , 29:292-308, 1999.
	C88	Letter from Howard J. Leonhardt to Sidney Wallace, dated April 22, 1997, with two attachments.
	C89	Document entitled "Patient: #1115", faxed from Howard J. Leonhardt to Andras Konya on April 11, 1998.
	C90	JVIR Supplement, Scientific Program, SCVIR 22 nd Annual Scientific Meeting, March 8-13, 1997, Sheraton Washington Hotel, 8(1) Part 2, pp. 251-252, January-February 1997.
	C91	World Medical News, 5(6), May 1997.
	C92	Pictures of poster presented at SCVIR 22 nd Annual Scientific Meeting, March 8-13, 1997, Sheraton Washington Hotel.
	C93	Pictures of poster presented at SCVIR 22 nd Annual Scientific Meeting, March 8-13, 1997, Sheraton Washington Hotel.

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Exam. Init.	Ref. Des.	Citation
GP ↓	C94	Descriptions on poster presented at SCVIR 22 nd Annual Scientific Meeting, March 8-13, 1997, Sheraton Washington Hotel.
	C95	International Search Report dated 06/24/99.

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GP	B16	893108	1/27/99	Europe			
GP	B17	WO 00/44308	8/3/00	PCT			

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GP	B18	WO 96/01591	1/5/96	PCT			

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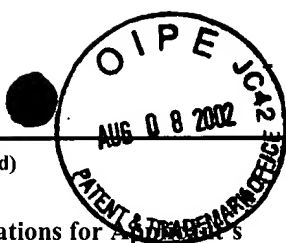
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List of Patents and Publications for Applicant's INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)		Applicant Hideki Hyodoh <i>et al.</i>	
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Foreign Patent Documents

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	A71	5,527,282	6/18/96	Segal	604	104	12/9/94
	A72	5,695,469	12/9/97	Segal	604	104	12/8/95
	A73	5,755,708	5/26/98	Segal	604	109	5/15/96
	A74	6,059,752	5/9/00	Segal	604	107	11/13/97

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Exam. Init.	Ref. Des.	Document Number	Date	Country	Class	Sub Class	Translation Yes/No
GP	B19	WO 98/33454	8/6/98	PCT			Abstract

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Exam. Init.	Ref. Des.	Document Number	Date	Country	Class	Sub Class	Translation Yes/No

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<i>W</i>	C6	Seven photographs taken by Hideki Hyodoh of stents displayed during a Japanese metallic stentgraft meeting, February 22, 1999.
<i>W</i>	C7	JVIR Supplement, Volume 10, Number 2, Part 2: 284, 287. February 1999.
<i>W</i>	C8	Photograph taken by András Kónya of stent at SCVIR meeting in Orlando, Florida, March 20-25, 1999.
<i>W</i>	C9	Three photographs taken by András Kónya of poster authored by Hideki Hyodoh, András Kónya, and Kenneth C. Wright at SCVIR meeting in Orlando, Florida, March 20-25, 1999.

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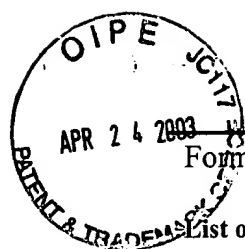
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<i>W</i>	A75	5,800,519	9/1/98	Sandock	623	1	11/4/96

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